



Khai Hee Kwan  
P.O.Box 1178  
Sandakan 90713  
Sabah  
Malaysia

Commissioner for patents  
Mail Stop Application Number  
P.O.Box 1450  
Alexandria VA 22313-1450

Dear Sir,

**RE: REQUEST FOR APPLICATION NUMBER TO INCORPORATE  
PRELIMINARY AMENDMENTS**

The Title of the Invention: System and method for conducting an electronic financial  
asset deposit auction over computer network

FOR patent application mailed on the 3th July 2003 with Mailing Label  
EE632068266MY. as continuation-in-part of U.S. patent application Ser. No. 09/534233  
filed March-24-2000

**INSTUCTIONS:**

Please replace page 1 of said continuation in part application with the attached page 1.

**NEW MATTERS:**

The new page as attached replacing page 1 includes the insert words "which is  
incorporated herein by reference." at line 12.

This line was originally found in our COVER page dated 3 July 2003 so it is not NEW  
MATTER. It was however omitted in our specification. A copy of the cover is attached  
as evidence.

**Attachment:**

Page 1 of specification for replacing with page 1 of submitted specification.  
A copy of our application cover letter dated 3 July 2003.

Thanks and regards

  
Khai Hee Kwan

4 July, 2003

Email: khkwan@yahoo.com

Fax: 1-509-696-4812



*I certified that  
this was mailed  
on the above  
date as per  
postal stamp*

**RECEIVED**  
AUG 06 2003  
**GROUP 3600**

3628



Name of Applicant: Khai Hee KWAN

Citizenship: Malaysian

Postal Address: P.O.BOX 1178, Sandakan 90713, Sabah, Malaysia.

Residence Address: 2 Jalan Upper Aman, Sandakan 90000, Sabah, Malaysia

5

**Title of Invention**

System and method for conducting an electronic financial asset deposit auction  
over computer network

10 **Cross-reference to Related Applications**

This is a continuation in part (CIP) from application no 09/534233 filed 24  
March 2000 (co-pending), which is incorporated herein by reference .

**Statement Regarding Federal Sponsored research or**

15 **development**

Not Applicable

**Reference to a microfiche appendix**

Not Applicable

20

25

**RECEIVED**  
AUG 06 2003  
**GROUP 3600**



Khai Hee Kwan  
P.O.Box 1178  
Sandakan 90713  
Sabah  
Malaysia

Mailing Label EE632068266MY

Commissioner for patents  
Mail Stop Patent Application  
P.O.Box 1450  
Alexandria VA 22313-1450

*Copy of cover letter  
for our  
application #*

Dear Sir,

Attached with this letter an non provisional patent application

The Title of the Invention: System and method for conducting an electronic financial asset deposit auction over computer network

Note: This application is a continuation-in-part of U.S. patent application Ser. No. 09/534233 filed March-24-2000, which is incorporated herein by reference

*#*

The Name of the Inventor: Khai Hee Kwan

Customer Number : 23336

The Title and number of pages of each PTO forms as attached

PTO/SB/05	1 Page ( original)
PTO/SB/17	1 Page ( original)
PTO/SB/17	1 Page ( COPY)
PTO/SB/01	2 Pages ( original)
PTO 2038	1 Page ( original)

**RECEIVED**  
AUG 06 2003  
GROUP 3000

The number of pages of specification and abstract (excluding claims): 34 Pages

The number of claims and the number of claims pages: 19 Claims and 5 Pages

The number of Figures of drawings: 5 Figures

The number of sheets of drawings: 5 Sheets

The amount of payment is USD 375 and the method of payment is by Visa card with PTO 2038. I hereby certified that this documentation is deposited for US Mail on the 3 July, 2003

Thanks and regards

Khai Hee Kwan

Email: khkwan@yahoo.com

3 July , 2003

Fax: 1-509-696-4812